

**CASE STUDY OVERVIEW: *ASSET MANAGER*
OPERATIONS, TECHNOLOGY & DATA SECURITY**

CLIENT:

- \$300 million start up *Asset Manager* focused on real estate and private equity funds.

CHALLENGES:

- Leading asset management company could not invest in the fund until *Asset Manager* improved its operating infrastructure.
- New start up comprised of two principals with limited technology and operations background.
- Infrastructure was partly formulated and needed to be reengineered.
- Policies and procedures for the investment process, data security, business continuity and operations protocols were not in place.
- Service provider selection had not been finalized.
- Timeline for implementation and approval was less than two months.

DELIVERABLES:

- Reviewed operations, technology and data security protocols, procedures and infrastructure.
- Developed, designed and implemented all policies and procedures for the investment process, data security, business continuity and operations protocols.
- Finalized service provider selection and integrated them into *Asset Manager's* platform.
- Formulated a hiring plan for support staff.
- Educated the principals on the appropriate scalable environment for their operating infrastructure.
- Presented findings to a leading asset management company which resulted in the client's ultimate approval onto their distribution platform.

RESOURCES:

- Two Senior Project Managers.

DURATION:

- Seven weeks.

For more information on HC services, please contact:



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